

7-21-00

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 18021-2919	SERIAL NO. 09/479,467
	APPLICANT Sternberg, P.	
	FILING DATE January 6, 2000	GROUP 1643

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
PP	AA	4	5	6	8	6	3	9	02/04/86	Lew	435	68	02/21/85
	AB	4	7	5	6	9	0	8	07/12/88	Lew	424	88	06/12/85
	AC	5	1	9	6	3	3	3	03/23/93	Chalfie <i>et al.</i>	435	240.1	05/30/90
	AD	5	4	7	2	8	7	1	12/05/95	Wood <i>et al.</i>	435	252.3	02/09/94
	AE	5	5	5	9	0	2	6	09/24/96	Price <i>et al.</i>	435	254.2	10/31/94
	AF	5	7	4	1	6	6	8	04/21/98	Ward <i>et al.</i>	435	69.1	05/26/95
	AG	5	7	8	9	1	8	9	08/04/98	Woo	435	30	
	AH	5	8	4	0	5	4	0	11/24/98	St. George-Hyslop <i>et al.</i>	435	69.1	11/10/97
	AI	5	8	9	1	6	2	8	04/06/99	Reeders <i>et al.</i>	435	6	06/02/95
	AJ	5	9	2	9	2	0	7	07/27/99	Horvitz <i>et al.</i>	530	324	01/12/96
	AK	5	9	6	2	3	0	1	10/05/99	Horvitz <i>et al.</i>	435	226	02/24/95
	AL	5	9	7	2	8	8	2	10/26/99	Gattone, II	514	11	12/14/98
	AM	5	9	8	5	8	3	0	11/16/99	Acott <i>et al.</i>	514	12	09/16/97
	AN	5	9	8	6	0	5	4	11/16/99	St. George-Hyslop <i>et al.</i>	530	350	01/26/96

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	Translation Yes No	
PP	AO	9	5	3	4	5	7	3	12/21/95	PCT				
	AP	9	6	3	8	5	5	5	12/05/96	PCT				
	AQ	9	9	3	7	7	7	0	07/29/99	PCT				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

PP	AR	Aroian <i>et al.</i> , Mutations in the <i>Caenorhabditis elegans</i> let-23 EGFR-like gene define elements important for cell-type specificity and function, <u>The EMBO Journal</u> 13(2):360-366 (1994).											
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90	AS	Aroian <i>et al.</i> , The <i>let-23</i> gene necessary for <i>Caenorhabditis elegans</i> vulval induction encodes a tyrosine kinase of the EGF receptor subfamily, <u>Nature</u> 348:693-699 (1990).
	AT	Aroian <i>et al.</i> , Multiple Functions of <i>let-23</i> , a <i>Caenorhabditis elegans</i> Receptor Tyrosine Kinase Gene Required for Vulval Induction, <u>Genetics</u> 128:251-267 (1991).
	AU	Bargmann, Neurobiology of the <i>Caenorhabditis elegans</i> Genome, <u>Science</u> 282:2028-2033 (1998).
	AV	Barr <i>et al.</i> , A polycystic kidney-disease gene homologue required for male mating behaviour in <i>C. elegans</i> , <u>Nature</u> 401:386-389 (1999).
	AW	Brenner, The Genetics of <i>Caenorhabditis Elegans</i> , <u>Genetics</u> 77:71-94 (1974). ✓
	AX	Brundage <i>et al.</i> , Mutations in a <i>C. elegans</i> G _q α Gene Disrupt Movement, Egg Laying, and Viability, <u>Neuron</u> 16(5):999-1009 (1996).
	AY	Carraway <i>et al.</i> , Mucin Structure and Function: Insights from Molecular Biology, <u>Trends in Glycoscience and Glycotechnology</u> 7(33):31-44 (1995).
	AZ	Chalfie <i>et al.</i> , Green Fluorescent Protein as a Marker for Gene Expression, <u>Science</u> 263:802-805 (1994).
	BA	Chamberlin <i>et al.</i> , Multiple cell interactions are required for fate specification during male spicule development in <i>Caenorhabditis elegans</i> , <u>Development</u> 118(2):297-324 (1993).
	BB	Chamberlin <i>et al.</i> , Characterization of Seven Genes Affecting <i>Caenorhabditis elegans</i> Hindgut Development, <u>Genetics</u> 153(2):731-742 (1999).
	BC	Chamberlin <i>et al.</i> , The <i>PAX</i> gene <i>egl-38</i> mediates developmental patterning in <i>Caenorhabditis elegans</i> , <u>Development</u> 124(20):3919-3928 (1997).
	BD	Chamberlin <i>et al.</i> , The <i>lin-3/let-23</i> pathway mediates inductive signalling during male spicule development in <i>Caenorhabditis elegans</i> , <u>Development</u> 120:2713-2721 (1994).
	BE	Chang <i>et al.</i> , Reciprocal EGF signaling back to the uterus from the induced <i>C. elegans</i> vulva coordinates morphogenesis of epithelia, <u>Current Biology</u> 9(5):237-246 (1999).
	BF	Chen <i>et al.</i> , Polycystin-L is a calcium-regulated cation channel permeable to calcium ions, <u>Nature</u> 401:383-386 (1999).
	BG	Clandinin <i>et al.</i> , Inositol Trisphosphate Mediates a RAS-Independent Response to LET-23 Receptor Tyrosine Kinase Activation in <i>C. elegans</i> , <u>Cell</u> 92(4):523-533 (1998).
	BH	Clandinin <i>et al.</i> , <i>Caenorhabditis elegans</i> HOM-C Genes Regulate the Response of Vulval Precursor Cells to Inductive Signal, <u>Developmental Biology</u> 182(1):150-161 (1997).

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PP	BI	Collet <i>et al.</i> , Analysis of <i>osm-6</i> , a Gene That Affects Sensory Cilium Structure and Sensory Neuron Function in <i>Caenorhabditis elegans</i> , <u>Genetics</u> 148:187-200 (1998).
	BJ	Daoust <i>et al.</i> , Evidence for a Third Genetic Locus for Autosomal Dominant Polycystic Kidney Disease, <u>Genomics</u> 25:733-736 (1995).
	BK	Driscoll <i>et al.</i> , Mechanotransduction, <u>C. elegans II</u> , pp. 645-677 (1997).
	BL	Emmons <i>et al.</i> , Mating, channels and kidney cysts, <u>Nature</u> 401:339-340 (1999).
	BM	Felix <i>et al.</i> , Symmetry breakage in the development of one-armed gonads in nematodes, <u>Development</u> 122(7):2129-2142 (1996).
	BN	Felix <i>et al.</i> , A gonad-derived survival signal for vulval precursor cells in two nematode species, <u>Curr. Biol.</u> 8(5):287-290 (1998).
	BO	Ferguson <i>et al.</i> , A genetic pathway for the specification of the vulval cell lineages of <i>Caenorhabditis elegans</i> , <u>Nature</u> 326:259-267 (1987).
	BP	Gabow, Autosomal Dominant Polycystic Kidney Disease - More Than a Renal Disease, <u>American Journal of Kidney Diseases</u> 16(5):403-413 (1990).
	BQ	Germino <i>et al.</i> , The Gene for Autosomal Dominant Polycystic Kidney Disease Lies in a 750-kb CpG-Rich Region, <u>Genomics</u> 13:144-151 (1992).
	BR	Golden <i>et al.</i> , The Roles of SH2/SH3 Domains in Nematode Development, <u>Bioessays</u> 14(7):481-484 (1992).
	BS	Hajdu-Cronin <i>et al.</i> , Antagonism between G_{α} and G_{α} in <i>Caenorhabditis elegans</i> : the RGS protein EAT-16 is necessary for G_{α} signaling and regulates G_{α} activity, <u>Genes & Development</u> 13(14):1780-1793 (1999).
	BT	Han <i>et al.</i> , <i>C. elegans lin-45 raf</i> gene participates in <i>let-60 ras</i> -stimulated vulval differentiation, <u>Nature</u> 363(6425):133-140 (1993).
	BU	Han <i>et al.</i> , The <i>let-60</i> Locus Controls the Switch Between Vulval and Nonvulval Cell Fates in <i>Caenorhabditis elegans</i> , <u>Genetics</u> 126:899-913 (1990).
	BV	Han <i>et al.</i> , Analysis of dominant-negative mutations of the <i>Caenorhabditis elegans let-60 ras</i> gene, <u>Genes & Development</u> 5(12A):2188-2198 (1991).
	BW	Herskowitz, Functional inactivation of genes by dominant negative mutations, <u>Nature</u> 329:219-222 (1987).
	BX	Hill <i>et al.</i> , The gene <i>lin-3</i> encodes an inductive signal for vulval development in <i>C. elegans</i> , <u>Nature</u> 358(6386):470-476 (1992).

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PP	BY	Hill <i>et al.</i> , Cell fate patterning during <i>C. elegans</i> vulval development, <u>Development</u> pp. 9-18 (1993).
	BZ	Himmelbauer <i>et al.</i> , Human-Mouse Homologies in the Region of the Polycystic Kidney Disease Gene (PKD1), <u>Genomics</u> 13:35-38 (1992).
	CA	Hodgkin, Male Phenotypes and Mating Efficiency in <i>Caenorhabditis elegans</i> , <u>Genetics</u> 103:43-64 (1983).
	CB	Hodgkin, Sexual Dimorphism and Sex Determination, <u>The Nematode C. elegans</u> , pp. 243-279 (1988).
	CC	Hoffmann <i>et al.</i> , Learning about cancer genes through invertebrate genetics, <u>Curr. Opin. Genet. Dev.</u> 2(1):45-52 (1992).
	CD	Horvitz <i>et al.</i> , Multiple intercellular signalling systems control the development of the <i>Caenorhabditis elegans</i> vulva, <u>Nature</u> 351:535-541 (1991).
	CE	Hsieh <i>et al.</i> , The RING finger/B-box factor TAM-1 and a retinoblastoma-like protein LIN-35 modulate context-dependent gene silencing in <i>Caenorhabditis elegans</i> , <u>Genes & Development</u> 13(22):2958-70 (1999).
	CF	Huang <i>et al.</i> , Genetic Dissection of Developmental Pathways, <u>Methods Cell Biol.</u> 48:97-122 (1995).
	CG	Huang <i>et al.</i> , The <i>lin-15</i> Locus Encodes Two Negative Regulators of <i>Caenorhabditis elegans</i> Vulval Development, <u>Molecular Biology of the Cell</u> 5:395-412 (1994).
	CH	Hudspeth, How the ear's works work, <u>Nature</u> 341:397-404 (1989).
	CI	Hughes <i>et al.</i> , The polycystic kidney disease 1 (PKD1) gene encodes a novel protein with multiple cell recognition domains, <u>Nature Genetics</u> 10:151-160 (1995).
	CJ	Hughes <i>et al.</i> , Identification of a human homologue of the sea urchin receptor for egg jelly: a polycystic kidney disease-like protein, <u>Human Molecular Genetics</u> 8(3):543-549 (1999).
	CK	Jiang <i>et al.</i> , An HMG1-like protein facilitates Wnt signaling in <i>Caenorhabditis elegans</i> , <u>Genes & Development</u> 13(7):877-889 (1999).
	CL	Jiang <i>et al.</i> , Interactions of EGF, Wnt and HOM-C genes specify the P12 neuroectoblast fate in <i>C. elegans</i> , <u>Development</u> 125(12): 2337-2347 (1998).
	CM	Jiang <i>et al.</i> , Socket Cells Mediate Spicule Morphogenesis in <i>Caenorhabditis elegans</i> Males, <u>Developmental Biology</u> 211(1):88-99 (1999).

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97	CN	Jongeward <i>et al.</i> , <i>sl-1</i> , a Negative Regulator of <i>let-23</i> -Mediated Signaling in <i>C. elegans</i> , <u>Genetics</u> 139(4):1553-1566 (1995).
	CO	Kaplan <i>et al.</i> , A dual mechanosensory and chemosensory neuron in <i>Caenorhabditis elegans</i> , <u>Proc. Natl. Acad. Sci. USA</u> 90:2227-2231 (1993).
	CP	Katz <i>et al.</i> , A plethora of intercellular signals during <i>Caenorhabditis elegans</i> development, <u>Curr. Opin. Cell Biol.</u> 4(6):939-947 (1992).
	CQ	Katz <i>et al.</i> , Different Levels of the <i>C. elegans</i> Growth Factor LIN-3 Promote Distinct Vulval Precursor Fates, <u>Cell</u> 82(2):297-307 (1995).
	CR	Katz <i>et al.</i> , A Point Mutation in the Extracellular Domain Activates LET-23, the <i>Caenorhabditis elegans</i> Epidermal Growth Factor Receptor Homolog, <u>Mol. Cell. Biol.</u> 16(2):529-537 (1996).
	CS	Kayne <i>et al.</i> , Ras pathways in <i>Caenorhabditis elegans</i> , <u>Curr. Opin. Genet. Dev.</u> 5(1):38-43 (1995).
	CT	Kimberling <i>et al.</i> , Autosomal Dominant Polycystic Kidney Disease: Localization of the Second Gene to Chromosome 4q13-q23, <u>Genomics</u> 18:467-472 (1993).
	CU	Lee <i>et al.</i> , <i>unc-101</i> , a gene required for many aspects of <i>Caenorhabditis elegans</i> development and behavior, encodes a clathrin-associated protein, <u>Genes & Development</u> 8:60-73 (1994).
	CV	Lesa <i>et al.</i> , Positive and Negative Tissue-specific Signaling by a Nematode Epidermal Growth Factor Receptor, <u>Mol. Biol. Cell</u> 8(5):779-793 (1997).
	CW	Liu <i>et al.</i> , Sensory Regulation of Male Mating Behavior in <i>Caenorhabditis elegans</i> , <u>Neuron</u> 14:79-89 (1995).
	CX	McDonald <i>et al.</i> , Inherited Polycystic Kidney Disease in Children, <u>Seminars in Nephrology</u> 11(6):632-642 (1991).
	CY	Mendel <i>et al.</i> , Participation of the Protein G _o in Multiple Aspects of Behavior in <i>C. elegans</i> , <u>Science</u> 267(5204):1652-1655 (1995).
	CZ	Mochizuki <i>et al.</i> , PKD2, a Gene for Polycystic Kidney Disease That Encodes an Integral Membrane Protein, <u>Science</u> 272:1339-1342 (1996).
	DA	Montell <i>et al.</i> , Molecular Characterization of the <i>Drosophila</i> <i>trp</i> Locus: A Putative Integral Membrane Protein Required for Phototransduction, <u>Neuron</u> 2:1313-1323 (1989).

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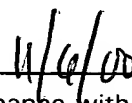
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PV	DB	Mori <i>et al.</i> , The identification of a <i>Caenorhabditis elegans</i> homolog of p34 ^{cdc2} kinase, <u>Mol. Gen. Genet.</u> 245:781-786 (1994).
	DC	Newman <i>et al.</i> , The <i>lin-11</i> LIM domain transcription factor is necessary for morphogenesis of <i>C. elegans</i> uterine cells, <u>Development</u> 126(23):5319-26 (1999).
	DD	Newman <i>et al.</i> , Coordinated morphogenesis of epithelia during development of the <i>Caenorhabditis elegans</i> uterine-vulval connection, <u>Proc. Natl. Acad. Sci. USA</u> 93(18):9329-9333 (1996).
	DE	Newman <i>et al.</i> , The <i>Caenorhabditis elegans lin-12</i> gene mediates induction of ventral uterine specialization by the anchor cell, <u>Development</u> 121(2):263-271 (1995).
	DF	Newman <i>et al.</i> , Morphogenesis of the <i>C. elegans</i> hermaphrodite uterus, <u>Development</u> 122(11):3617-3626 (1996).
	DG	Nomura <i>et al.</i> , Identification of <i>PKDL</i> , a Novel Polycystic Kidney Disease 2-Like Gene Whose Murine Homologue Is Deleted in Mice with Kidney and Retinal Defects, <u>J. Biol. Chem.</u> 273(40):25967-25973 (1998).
	DH	Perkins <i>et al.</i> , Mutant Sensory Cilia in the Nematode <i>Caenorhabditis elegans</i> , <u>Developmental Biology</u> 117:456-487 (1986).
	DI	Qian <i>et al.</i> , PKD1 interacts with PKD2 through a probable coiled-coil domain, <u>Nature Genetics</u> 16:179-183 (1997).
	DJ	Reeders <i>et al.</i> , A highly polymorphic DNA marker linked to adult polycystic kidney disease on chromosome 16, <u>Nature</u> 317:542-544 (1985).
	DK	Schnabel <i>et al.</i> , An Organ-Specific Differentiation Gene, <i>pha-1</i> , from <i>Caenorhabditis elegans</i> , <u>Science</u> 250:686-688 (1990).
	DL	Scott <i>et al.</i> , TRP, TRPL and trouble in photoreceptor cells, <u>Current Opinion in Neurobiology</u> 8:383-388 (1998).
	DM	Somlo <i>et al.</i> , Fine Genetic Localization of the Gene for Autosomal Dominant Polycystic Kidney Disease (PKD1) with Respect to Physically Mapped Markers, <u>Genomics</u> 13:152-158 (1992).
	DN	Sommer <i>et al.</i> , Changes of Induction and Competence During the Evolution of Vulva Development in Nematodes, <u>Science</u> 265:114-118 (1994).
	DO	Sommer <i>et al.</i> , Apoptosis and change of competence limit the size of the vulva equivalence group in <i>Pristionchus pacificus</i> : a genetic analysis, <u>Current Biology</u> 6(1):52-59 (1996).

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88	DP	Sommer <i>et al.</i> , Evolution of Nematode Vulval Fate Patterning, <u>Developmental Biology</u> 173(2):396-407 (1996). ✓
	DQ	Sternberg <i>et al.</i> , Role of a <i>raf</i> proto-oncogene during <i>Caenorhabditis elegans</i> vulval development, <u>Phil. Trans. R. Soc. Lond. B. Biol. Sci.</u> 340(1293):259-265 (1993).
	DR	Sternberg <i>et al.</i> , Molecular Genetics of Proto-oncogenes and Candidate Tumor Suppressors in <i>Caenorhabditis elegans</i> , <u>Cold Spring Harb. Symp. Quant. Biol.</u> 59:155-163 (1994).
	DS	Sternberg, Control of cell fates within equivalence groups in <i>C. elegans</i> , <u>TINS</u> 11(6):259-264 (1988).
	DT	Sternberg <i>et al.</i> , Intercellular Signaling and Signal Transduction in <i>C. elegans</i> , <u>Annu. Rev. Genet.</u> 27:497-521 (1993).
	DU	Sternberg <i>et al.</i> , <i>lin-17</i> Mutations of <i>Caenorhabditis elegans</i> Disrupt Certain Asymmetric Cell Divisions, <u>Developmental Biology</u> 130:67-73 (1988).
	DV	Sternberg <i>et al.</i> , Genetics of RAS signaling in <i>C. elegans</i> , <u>TIG</u> 14(11):466-472 (1998).
	DW	Sternberg <i>et al.</i> , LET-23-Mediated Signal Transduction During <i>Caenorhabditis elegans</i> Development, <u>Mol. Reprod. Dev.</u> 42(4):523-528 (1995).
	DX	Sulston <i>et al.</i> , The <i>Caenorhabditis elegans</i> Male: Postembryonic Development of Nongonadal Structures, <u>Developmental Biology</u> 78:542-576 (1980).
	DY	The <i>C. elegans</i> Sequencing Consortium, Genome Sequence of the Nematode <i>C. elegans</i> : A Platform for Investigating Biology, <u>Science</u> 282:2012-2018 (1998). ✓
	DZ	Torres <i>et al.</i> , New insights into polycystic kidney disease and its treatment, <u>Current Opinion in Nephrology and Hypertension</u> 7:159-169 (1998).
	EA	Tsiokas <i>et al.</i> , Homo- and heterodimeric interactions between the gene products of PKD1 and PKD2, <u>Proc. Natl. Acad. Sci. USA</u> 94:6965-6970 (1997).
	EB	Wang <i>et al.</i> , Competence and Commitment of <i>Caenorhabditis elegans</i> Vulval Precursor Cells, <u>Developmental Biology</u> 212(1):12-24 (1999).
	EC	Ward <i>et al.</i> , Electron Microscopical Reconstruction of the Anterior Sensory Anatomy of the Nematode <i>Caenorhabditis elegans</i> , <u>J. Comp. Neur.</u> 160:313-337 (1975).
	ED	Watson <i>et al.</i> , The Fine Structure of Bacterial and Phage Genes, <u>Molecular Biology of the Gene</u> , 4th Edition p. 224 (1987).

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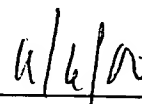
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

PP	EE	White <i>et al.</i> , The Structure of the Nervous System of the Nematode <i>Caenorhabditis Elegans</i> , <u>Phil. Trans. R. Soc. Lond. B</u> 314:1-67 (1986).
	EF	Yoon <i>et al.</i> , Similarity of <i>sl-1</i> , a Regulator of Vulval Development in <i>C. elegans</i> , to the Mammalian Proto-Oncogene <i>c-cbl</i> , <u>Science</u> 269(5227):1102-1105 (1995).
	EG	Zerres <i>et al.</i> , Mapping of the gene for autosomal recessive polycystic kidney disease (ARPKD) to chromosome 6p21-cen, <u>Nature Genetics</u> 7:429-432 (1994).
	EH	Zhen <i>et al.</i> , The liprin protein SYD-2 regulates the differentiation of presynaptic termini in <i>C. elegans</i> , <u>Nature</u> 401:371-375 (1999).
	EI	Zwaal <i>et al.</i> , Two Neuronal G Proteins are Involved in Chemosensation of the <i>Caenorhabditis elegans</i> Dauer-Inducing Pheromone, <u>Genetics</u> 145(3):715-727 (1997).

EXAMINER



DATE CONSIDERED



EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.